

In-class Test  
COMS10007 Algorithms 2019/2020  
**Solutions**

10.03.2020

Reminder:  $\log n$  denotes the binary logarithm, i.e.,  $\log n = \log_2 n$ . We also write  $\log^c n$  as an abbreviation for  $(\log n)^c$ .

**Make sure to put your name on every piece of paper that you hand in!**

## 1 *O*-notation

1. Let  $f : \mathbb{N} \rightarrow \mathbb{N}$  be a function. Define the set  $O(f(n))$ .

$$O(f(n)) = \{g(n) : \text{There exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq g(n) \leq cf(n) \text{ for all } n \geq n_0\}$$

2. Give a formal proof of the statement:

$$2n^2 + 5n \in O(n^2) .$$

We need to show that there are positive constants  $c, n_0$  such that  $2n^2 + 5n \leq c \cdot n^2$  holds, for every  $n \geq n_0$ . This inequality is identical to  $2n + 5 \leq cn$  or  $5 \leq n(c - 2)$ . Choosing  $c = 3$ , we obtain the condition  $5 \leq n$ , which is true for every  $n \geq 5$ . We thus select  $n_0 = 5$ .

3. For each of the following statements, indicate whether it is true or false: (no justification needed)

- (a)  $10n \in O(n^{\log n})$   true
- (b)  $\log^2 n \in O(n^3)$   true
- (c)  $\frac{1}{2} \log n \in O(\sqrt{\log n})$   false
- (d)  $n! \in O(\sum_{i=0}^n 2^i)$   false
- (e)  $2^{\sqrt{\log \log n}} \in O(\log^2 n)$   true
- (f)  $f(n) \in \Theta(g(n))$  implies  $g(n) \in \Omega(f(n))$   true
- (g)  $f(n) \in O(g(n))$  implies  $2^{f(n)} \in O(2^{g(n)})$   false

## 2 Sorting

1. What is the runtime of Insertionsort in  $\Theta$ -notation (our aim is to sort the input in increasing order) on the following array of length  $n$ : (no justification needed)

$$A[i] = 1, \text{ if } 0 \leq i \leq \lfloor \frac{n}{2} \rfloor, \text{ and } A[i] = 0 \text{ otherwise}$$

$$\boxed{\Theta(n^2)}$$

2. Heapsort interprets an array of length  $n$  as a binary tree. What is the runtime of the BUILD-HEAP() operation that transforms the initial binary tree into a heap? (no justification needed)  $\boxed{\Theta(n)}$
3. Suppose that Mergesort is executed on an array of length  $2^k$ , for some integer  $k$ . What is the height of the corresponding recursion tree of this execution in  $\Theta$ -notation? (no justification needed)  $\boxed{\Theta(k)}$

## 3 Loop Invariant

Consider the following algorithm: (it operates on an array  $A$  of length  $n$  of positive integers)

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### Algorithm 1

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**Require:**  $A$  is an array of  $n$  positive integers

- 1:  $x \leftarrow \frac{A[0]}{A[1]}$
  - 2: **for**  $i \leftarrow 1, \dots, n - 2$  **do**
  - 3:    $x \leftarrow x \cdot \frac{A[i]}{A[i+1]}$
  - 4: **end for**
  - 5: **return**  $x$
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Consider the following loop invariant:

At the beginning of iteration  $i$  (i.e., after  $i$  is updated in Line 2 and before the code in Line 3 is executed) the following property holds:

$$x = \frac{A[0]}{A[i]}.$$

1. *Initialization:* Argue that at the beginning of the first iteration, i.e. when  $i = 1$ , the loop invariant holds.

At the beginning of the first iteration, i.e., when  $i = 1$ , the loop invariant states  $x = \frac{A[0]}{A[1]}$ . Observe that in Line 1 of the algorithm,  $x$  is initialized to this value. The loop invariant thus holds for  $i = 1$ .

2. *Maintenance:* Suppose that the loop invariant holds at the beginning of iteration  $i$ . Argue that the loop invariant then also holds at the beginning of iteration  $i + 1$ .

Let  $x_i$  denote the value of  $x$  at the beginning of iteration  $i$ . Since the loop invariant holds at the beginning of iteration  $i$ , we have  $x_i = \frac{A[0]}{A[i]}$ . Observe that in iteration  $i$ , the value of  $x$  is updated in Line 3. We thus obtain:

$$x_{i+1} = x_i \cdot \frac{A[i]}{A[i+1]} = \frac{A[0]}{A[i]} \cdot \frac{A[i]}{A[i+1]} = \frac{A[0]}{A[i+1]} .$$

The loop invariant thus holds at the beginning of iteration  $i + 1$ .

3. *Termination:* What does the algorithm compute? Argue that this follows from the loop invariant.

The algorithm computes the value  $\frac{A[0]}{A[n-1]}$ . Observe that the state at the end of iteration  $n - 2$  is identical to the state of a non-existing iteration  $n - 1$ . The loop-invariant thus yields the value  $\frac{A[0]}{A[n-1]}$ .